

# **Corporate Complaints Policy and Procedure**

# 1. Our complaint policy

# Scope of our policy

This policy and procedure applies to all Council employees and to employees and organisations who deliver services on behalf of this Local Authority.

#### Introduction

The Council is committed to putting people at the centre of everything we do and aims to provide the best possible service to residents. However, sometimes things can go wrong and if you are not happy with the service you have received from the Council then please tell us so that we can, where possible, sort out the problem.

We will carry out enquiries fairly, deal with your complaint as quickly and effectively as we can while maintaining confidentiality and keeping you informed of progress. We want to reassure you that the service you get will not be affected if you raise concerns or make a complaint.

# The policy and procedure:

- allows managers to address issues of unsatisfactory service and seek improvements in service delivery;
- ensures that customers are treated fairly and consistently;
- ensures that a proper and adequate investigation takes place before any action is taken:
- requests for reasonable adjustments will be considered in line with the Equality Act 2010 and appropriate training is provided for staff; and
- safeguards the integrity and good reputation of the Council.

#### Whenever you contact us, we will:

- be polite and treat you with respect;
- give you honest and clear advice;
- make it clear what we can and cannot do:
- listen to your views; and
- admit when things go wrong and do our best to put them right.

## What we ask you to do:

- treat us politely and with respect; and
- tell us when things go wrong so that we can put them right.

### What is a complaint?

In general terms a complaint can be considered as: "an expression of dissatisfaction, however made, about the standard of service, actions or lack of action by the council, its own staff, or those acting on its behalf, affecting an individual or group of individuals."

## How can people complain?

Complaints can be made quickly and easily 24 hours a day, seven days a week via our website at <a href="https://www.hillingdon.gov.uk/complaints">www.hillingdon.gov.uk/complaints</a>.

If you need an adjustment to be made, please call the complaint team. It is somewhat difficult to investigate a complaint submitted anonymously but where we have sufficient information to allow an investigation to begin, we will do so. Where possible, we will also respect a complainant's reasonable request for anonymity.

## Who can complain?

Anyone who uses our services and is dissatisfied with the standard of service we have provided or our lack of action. The Council will also accept complaints by an advocate on behalf of a resident, with appropriate consent. However, any complaint should be made within 12 months of the issue/incident complained of. If we do not intend to progress your complaint, we will inform you of this in writing, tell you why we will not progress your complaint and give you the contact details of the Ombudsman to whom you may escalate your complaint for independent review.

#### What can people complain about?

People can complain about any **services** that the Council provides or contracts out. However, please note that:

- although a complaint can be submitted against Council policy, please be aware that Council policy cannot be overturned through the complaint process as this can only be done through the statutory decision making procedure;
- we will not deal with issues through the complaint procedure where a claim has been made in a court such as a disrepair claim; and
- issues relating to employment or application for employment with the Council cannot be addressed through the complaint procedure.

#### Remedies for redress

The purpose of redress is to remedy the injustice or hardship suffered and where possible to return a complainant to the position they would have been before the situation went wrong. Types of redress include:

- an apology;
- providing the service that should have been received at first;
- taking action or making a decision that should have been done before;
- reconsidering an incorrect decision;
- improving procedures so that similar problems do not occur again; and
- if after an investigation by Council staff or the Ombudsman, it is concluded that as a result of maladministration there is no practical action that would provide a full and appropriate remedy or if the complainant has sustained loss or suffering, financial compensation may be the most appropriate approach.

## **Unreasonable or Unreasonably Persistent complaints**

If we consider that a complainant is unreasonable or unreasonably persistent, we will refer the matter to a senior manager, who will consider whether restrictions should be placed on their contact with the Council.

## 2. The Corporate Complaints Procedure

Our complaints procedure is designed to support the effective management of complaints and is set out below.

#### **SERVICE REQUESTS**

We will always try to resolve enquiries/concerns by way of 'service requests' by talking through problems with you, without the need to go through our formal complaint procedure. We will acknowledge receipt within 5 working days, aim to put things right and give you a timescale when we will respond to you, detailing the action we have or will be taking.

A Service Request is defined as a request from a resident/people to the Council requiring us to take action to put something right. Whilst Service Requests are not complaints, they may contain expressions of dissatisfaction, and the Local Government and Social Care Ombudsman advise that we should have the opportunity to deal with a Service Request before a complaint is made.

If you are dissatisfied with the outcome, we will tell you how to proceed within the formal complaint procedure, which is outlined below.

#### **FORMAL STAGE 1**

A complaint will be registered under Stage 1 of our formal complaint's procedure when:

- we cannot resolve the problem by way of a "Service Request"
- you tell us that you want to make a formal complaint
- we believe it is necessary to use the formal procedure to resolve or respond to the complaint

Complaints at Stage 1 will be investigated by a manager or Team Leader or specialist complaint officer, from the service you have complained about, who was not directly involved in the reason for your complaint.

Prior to that, we will acknowledge receipt of the complaint within 5 working days of receipt and tell you who is looking into it. We aim to give you a response to your complaint at Stage 1 within 10 working days of our acknowledgment. If this is not possible, we will write to you and let you know if more time is needed and the estimated time it will take to provide a full response. If you are not happy with the response you receive, the full response will tell you:

- how to escalate your complaint to Stage 2; or
- that you may escalate your complaint direct from Stage 1 to the Housing Ombudsman Service or to the Local Government and Social Care Ombudsman if we feel that the decision cannot be overturned through the complaint process.

#### **FORMAL STAGE 2 (Review)**

You can ask us to review your complaint at Stage 2 if:

- you are unhappy with how your complaint was handled at Stage 1
- you are unhappy with the response you have received

In your appeal please do say why you are dissatisfied with the response and what further action you expect.

Complaints at Stage 2 will be investigated by a Head of Service or Director, who will review how your complaint was dealt with originally to decide if:

- the complaint was investigated thoroughly and objectively
- the conclusions reached are based on evidence
- the response was reasonable, appropriate and tried to achieve a resolution

We will acknowledge your request for an investigation at Stage 2 of the Corporate complaints procedure within 5 working days of the escalation request being received and tell you who is looking into it. We will aim to give you a full response to your complaint at Stage 2 within 20 working days of the complaint being acknowledged and if we cannot finish our investigation in time, we will let you know and tell you when you can expect our response.

If you are unhappy with the response you receive, the full response will tell you how to escalate your complaint to the Housing Ombudsman Service or the Local Government and Social Care Ombudsman for independent review.

#### TAKING YOUR COMPLAINT FURTHER

If your complaint is about Housing management matters, (but not Lettings/Housing allocations) your complaint will be considered by the Housing Ombudsman Service.

# A. Housing Ombudsman Service

The Housing Ombudsman Service is set up by law to look at complaints about registered providers of social housing and their service is free, independent and impartial. The contact details of the Housing Ombudsman are:

Housing Ombudsman Service P O Box 152 Liverpool L33 7WQ

Telephone 0300 111 3000

Email info@housing-ombudsman.org.uk

## B. Local Government and Social Care Ombudsman (LGO)

If your complaint is about any other Council service, your complaint will be considered by the <u>LGO</u>. The contact details of the LGO are:

Local Government and Social Care Ombudsman PO Box 4771 Coventry CV4 0EH

Telephone: 0300 061 0614

Website contact: <a href="https://www.lgo.org.uk/contact-us">www.lgo.org.uk/contact-us</a>